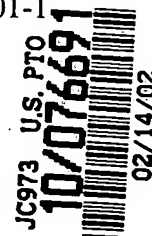


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PATENT
Attorney Docket No.: 016930-000630US
Client Reference No.: 3001-1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael H. Shepard et al.

Application No.: Unassigned

Filed: Herewith

For: METHOD FOR SELECTIVELY
TRANSDUCING PATHOLOGIC
MAMMALIAN CELLS USING A
TUMOR SUPPRESSOR GENE

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

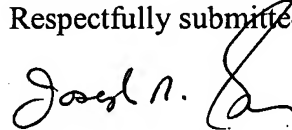
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are not enclosed, since they were cited in the parent case, U.S. Application No. 08/403,797. Enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Joseph R. Snyder
Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 925 472-5000
Fax: 925-472-8895
JS:dad

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| | |
|-------------------------------|---------------------|
| Application Number | 08/403,797 |
| Filing Date | December 4, 1995 |
| First Named Inventor | Shepard, H. Michael |
| Group Art Unit | 1633 |
| Examiner Name | Dave Nguyen |
| Attorney Docket Number | 016930-000600US |

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|-------|---|----|---|
| Sheet | 1 | of | 5 |
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| Attorney Docket Number | 016930-000600US |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | 7 | PCT | WO 91/15580 | | 10/17/1997 | | | |
| | 8 | PCT | WO 93/10814 | | 06/10/1993 | | | |
| | 9 | EP | 0 475 623 AI | | 08/23/1991 | | | |
| | 10 | PCT | 93/08844 | | 09/17/1993 | | | |
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Sheet 2 of 5

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| | |
|------------------------|---------------------|
| Application Number | 08/403,797 |
| Filing Date | December 4, 1995 |
| First Named Inventor | Shepard, H. Michael |
| Group Art Unit | 1633 |
| Examiner Name | Dave Nguyen |
| Attorney Docket Number | 016930-000600US |

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Signature

Date
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 08/403,797 |
| | | Filing Date | December 4, 1995 |
| | | First Named Inventor | Shepard, H. Michael |
| | | Group Art Unit | 1633 |
| | | Examiner Name | Dave Nguyen |
| Sheet 3 of 5 | Attorney Docket Number | 016930-000600US | |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
|---|-----------------------|---|----------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| Application Number | 08/403,797 |
| Filing Date | December 4, 1995 |
| First Named Inventor | Shepard, H. Michael |
| Group Art Unit | 1633 |
| Examiner Name | Dave Nguyen |
| Attorney Docket Number | 016930-000600US |

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|------------------------|--------------------------|---|----------------|
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Sheet 5 of 5

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Application Number 08/403,797
 Filing Date December 4, 1995
 First Named Inventor Shepard, H. Michael
 Group Art Unit 1633
 Examiner Name Dave Nguyen
 Attorney Docket Number 016930-000600US

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|---------------------|-----------------------|---|----------------|
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